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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/927,891
		Filing Date	August 10, 2001
		First Named Inventor	Jim Feeley
		Group Art Unit	3736
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	5	Attorney Docket Number	59184/P002US/10026564

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) 27 cited references attached
Remarks: RECEIVED SEP 17 2002 TECHNOLOGY CENTER R3700 		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	FULBRIGHT & JAWORSKI L.L.P. William B. Tiffany, Reg. No. 41,347
Signature	<i>William B. Tiffany</i>
Date	September 11, 2002

Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: September 11, 2002

Signature: *Joy H. Perigo*

(Joy H. Perigo)

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Dated: September 11, 2002

Signature: *Joseph H. Perigo*

(Joseph H. Perigo)

C. Parks
12-12-02
#4/IDS

Docket No.:

59184/P002US/10026564

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Jim Feeley, et al.

Application No.: 09/927,891

Filed: August 10, 2001

For: A BTE/CIC AUDITORY DEVICE AND
MODULAR CONNECTOR SYSTEM
THEREFOR



Group Art Unit: 3736

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned.

A copy of each reference on PTO/SB/08 is attached.

A concise explanation of relevance of the items listed on form PTO/SB/08 is:

☒ not given

☐ given for each listed item

☐ given for only non-English language listed items

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[] in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2380, under Order No. 59184-P002US-10026564.

Dated: September 11, 2002

Respectfully submitted,

By William B. Tiffany
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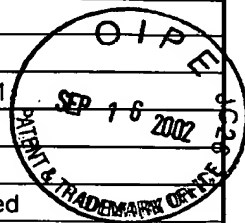


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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	09/927,891		
		Filing Date	August 10, 2001		
		First Named Inventor	Jim Feeley		
		Group Art Unit	3736		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	2	Attorney Docket Number	59184/P002US/10026564



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A	3,068,954	B1	Strzalkowski	12-18-1962	
	B	3,123,678	B1	J. G. Prentiss et al.	03-03-1964	
	C	3,688,863	B1	Johnson	09-05-1972	see column 2, line 2 - column 68; figures 1,2
	D	3,890,474	B1	Glicksberg	06-17-1975	see abstract; figures
	E	4,089,332	B1	Rose	05-16-1978	
	F	4,606,329	B1	Hough	08-19-1986	
	G	5,381,484	B1	Claes et al.	01-10-1995	see column 1, line 41 - line 63; figure 1
	H	5,606,621	B1	Reiter et al.	02-25-1997	
	I	5,721,783		Anderson	02-24-1998	
	J	5,987,146	B1	Pluvinae et al.	11-16-1999	
	K	6,021,207	B1	Puthuff et al.	02-01-2000	
	L	6,181,801	B1	Puthuff et al.	01-30-2001	
	M	6,275,596	B1	Fretz et al.	08-14-2001	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	N	EP	0 158 391	A1	N.V. Philips' Gloeilampenfabrieken	10-16-1985		
	O	EP	0 158 391	B1	N.V. Philips' Gloeilampenfabrieken	10-16-1985	see page 6, line 30 - page 7, line 23; figures	
	P	DE	35 08 830	A1	Robert Bosch GmbH	09-18-1986		
	Q	FR	2.058.156	A1	Tomatis	05-28-1971	see page 2, line 13 - page 3, line 11; figure	
	R	JP	62/1987-151100	B1	Nonomura - Matsushita Electric Industrial Co., Ltd.	07-06-1987	includes translation	
	S	DE	3638747	C1	AS Audio Service GmbH	10-08-1987		
	T	DE	3625891	A1	Robert Bosch GmbH	02-04-1988		
	U	EP	0 695 108	A2	Bruckhoff Apparatebau GmbH	01-31-1996		
	V	WO	92 13430	A1	Adelman Roger A	08-06-1992	see page 11, line 9 - line 32; figure 3B see page 25, line 35 - page 26, line 7	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				<div> <div>Complete if Known</div> <table border="1"> <tr> <td>Application Number</td> <td>09/927,891</td> </tr> <tr> <td>Filing Date</td> <td>August 10, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Jim Feeley</td> </tr> <tr> <td>Group Art Unit</td> <td>3736</td> </tr> <tr> <td>Examiner Name</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>59184/P002US/10026564</td> </tr> </table> </div>		Application Number	09/927,891	Filing Date	August 10, 2001	First Named Inventor	Jim Feeley	Group Art Unit	3736	Examiner Name	Not Yet Assigned	Attorney Docket Number	59184/P002US/10026564
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<div> <div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div>(use as many sheets as necessary)</div> </div>																	
Sheet	2	of	2														

[illegible]

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